Pleas typ a plus sign (+) insid this box



PTO/SB/22

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### 10/046,442 Application Numb r Oct 27, 2001 **FORM Filing Date** (to be used for all correspondence after initial filing) First Named Inventor Wagner, Peter Group Art Unit **Examiner Name** Total Number of Pages In This Submission 0002.P4.0.USN **Attorney Docket Number ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition **Proprietary Information** After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** identify below): Address **Express Abandonment Request ⊠**Signed Declaration Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD. Number of CD(s) Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm 44,755 Gregory L Heinkel Individual name Signature May 7, 2002 **Date CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: May 7, 2002 Type or printed name Gregory L. Heinkel Date May 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

### COPY OF PAPERS ORIGINALLY FILED

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

LLS. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information values in disclarate and the collection of information values. nwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

(\$) 65.00

TOTAL AMOUNT OF PAYMENT

Complete if Known							
Application Number	10/046,442						
Filing Date	10/27/2001						
First Named Inventor	Wagner, et al.						
Examiner Name							
Group Art Unit							
Attorney Docket No.	0002.P4.0.USN						

METHOD OF PAYMENT		FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES						
		Large Entity		Small Entity				
Deposit Account Number 501829	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposit Account Name Zyornyx, Inc.I -		130	205	65	Surcharge – late filing fee or oath	65.00		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		50	227	25	Surcharge – late provisional filing fee or cover sheet			
X Applicant claims small entity status. See 37 CFR 1.27		130	139	130	Non-English specification			
2. Payment Enclosed:		2,520	147	2,520	For filing a request for ex parte reexamination			
Check Credit Card Money Order Other	112	920°	112	920*	Requesting publication of SIR prior to Examiner action			
FEE CALCULATION		1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	<u></u>		
Large Entity Small Entity Fee Fee Fee Fee Description	116	400	216	200	Extension for reply within second month	<u> </u>		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension for reply within third month			
101 740 201 370 Utility filing fee	118	1,440	218	720	Extension for reply within fourth month			
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal			
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing			
	138 140	1,510	138	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$)		110	240	55	Petition to revive – unavoidable			
2. EXTRA CLAIM FEES		1,280	241	640	Petition to revive – unintentional			
Fee from Extra Claims below Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)			
Total Claims -20**= X =	143	460	243	230	Design issue fee			
Independent Claims X =	144	620	244	310	Plant issue fee	l i		
Multiple Dependent	122	130	122	130	Petitions to the Commissioner			
	123	50	123	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt			
103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
109 84 209 42 **Reissue independent claims over original patent		740	279	370	Request for Continued Examination (RCE)			
110 18 210 9 **Reissue claims in excess of 20 169 900 169 900				Request for expedited examination of a design application				
SUBTOTAL (2) (\$)0.00		ee (spe	cify) _					
or number previously paid, if greater; For Reissues, see above		ed by Bas		ee Paid	SUBTOTAL (3) (\$)65.00			

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Gregory L. Heinkel	Registration No. (Attorney/Agent)	44755	Telephone	510-266-7725	
Signature	5104			Date	May 7, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.



28385

ZYOMYX, INC.

3911 TRUST WAY

HAYWARD, CA 94545





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/046,442

10/27/2001

Peter Wagner

0002.P4.0.USN

**CONFIRMATION NO. 3484** 

**FORMALITIES LETTER** 

OC000000007601149\*

Date Mailed: 03/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/24/2002 MBERHE 00000094 501829 10046442

01 FC:205

65.00 CH